



Circular no.: MCX/S&I/663/2024

October 04, 2024

Mandating Client Email ID field in Unique Client Code (UCC)

In terms of the provisions of the Rules, Bye-Laws and Business Rules of the Exchange and with reference to Exchange Circular No. MCX/TECH/023/2022 dated January 13, 2022 the Members of the Exchange are hereby notified as under:-

The Exchange had provided the platform to its Members to upload / update various information of the clients such as Client Name, Complete address, PAN, Mobile number, Email-id, Date of Birth / Incorporation and Income / Networth details/range etc. in UCC database of the Exchange.

It has been observed that some Members have not updated the Client Email ID for certain clients. In view of the same, the Exchange is in the process of mandating the UCC field "Client Email ID" as mandatory in UCC database of the Exchange for all the UCC categories. Accordingly, the other dependent UCC fields like "Relationship with Client (for Email ID) / "Name of the Authorized/Contact Person (for Email Id)" as applicable will also become mandatory.

The effective date of the above changes will be effective tentatively on or after October 21, 2024.

Therefore, Members are advised:-

- a) Make the necessary changes in their back office software to incorporate the above change for all new UCC records. In cases where the Client Email ID is not entered, such records will be rejected and existing error code "033-Missing/Invalid Client Email Id" will be displayed / provided.
- b) Update the Client Email ID, Relationship with Client (for Email ID) / Name of the Authorized/Contact Person (for Email Id) as applicable of all the clients uploaded in UCC database of the Exchange. The UCC records, wherein the Client Email ID is not available/ not updated, Members should ensure to mark such record(s) as Inactive in UCC database of the Exchange on or before October 18, 2024.

- c) Members can use the UCC file format provided in Section 1.5 of aforesaid Exchange Circular dated January 13, 2022 on “Mobile No. / Email ID / Aadhaar No. / Income / Networth details File from Member” to update the aforesaid details of the all existing clients in bulk.

All the members are requested to take note of the same and ensure compliance.

Ayanansu Panda
Vice President
Surveillance and Investigation

Kindly contact Customer Service Team on 022 – 6649 4040 or send an email at customersupport@mcxindia.com for any clarification.

----- Corporate office -----
Multi Commodity Exchange of India Limited
Exchange Square, CTS No. 255, Suren Road, Chakala, Andheri (East),
Mumbai – 400 093
Tel.: 022 – 6649 4000 Fax: 022 – 6649 4151 CIN: L51909MH2002PLC135594
www.mcxindia.com email: customersupport@mcxindia.com